



To: Bay Area UASI Approval Authority

From: Teresa Serata, Director of Strategy and Grant Compliance

Date: March 8, 2012

RE: Item # 7: Status Report on the FY 2012 UASI Grant Guidelines and Approval of the FY 2012 UASI Grant Allocation for Sustainment Projects, Core Cities, Management & Administration and Planning Hubs

Recommendation:

Approve the FY 2012 UASI Grant Allocation for Sustainment Projects, Core Cities, Management and Administration, and Planning Hubs (see pg 3).

Action and Discussion Item:

Discussion and Action

Background:

FY 2012 UASI Grant Guidelines

On February 17, 2012, the Department of Homeland Security announced the grant allocations, guidance, and timelines for fiscal year 2012. The federal UASI program provides more than \$490 million to enhance regional preparedness and capabilities in 31 high-threat, high-density areas. The Bay Area UASI was allocated \$26,423,268 of this \$490 million. This amount represents a 38% cut from the FY 2011 UASI allocation to the Bay Area. With the State hold back of 20% of this allocation, the **final allocation to the Bay Area UASI will be \$21,138,615.**

The FY 2012 grant guidance included several significant changes.

- Begins the process of transitioning from multiple, separate preparedness grant programs to a more streamlined model proposed in the FY 2013 budget.
- Continues the transition to address the core capabilities outlined in the National Preparedness Goal with an emphasis on building and sustaining capabilities that focus on high consequence events that pose the greatest risk to the security and resilience of the United States.
- Implements a shorter two year performance period.

The UASI Management Team hosted a Strategy Implementation Guidance Planning Hub Workshop on February 28, 2012 from 9:30 am – 2:30 pm. The UASI Management Team provided information on the changes in the FY 2012 UASI grant guidelines, presented an overview of the 2012 UASI Strategy Implementation Guidance (Attachment I), discussed the Project Proposal Template, reviewed the 2012 grant performance period, and provided the updated Bay Area UASI 2012 grant calendar.



FY 2012 UASI Grant Allocation for Sustainment Projects, Core Cities, Management and Administration and Planning Hubs

On January 20, 2012, the Approval Authority approved the FY 2012 UASI grant funding allocation methodology for the allocation of grant funds within the Bay Area UASI. The Approval Authority approved the FY 2012 UASI allocation methodology based on a \$14.2 million threshold that provided funds for sustainment projects, the three core cities, and management and administration. In addition, the Approval Authority recommended that if the allocation to the Bay Area UASI was more than \$14.2 million, the balance of the remaining funds would be allocated to the Planning Hubs using the risk formula.

Discussion/Description:

On January 20, 2012, the Approval Authority approved the Regional Sustainment Projects in the amount of \$10,633,355 and the core city allocation in the amount of \$3,000,000 (\$1M each for Oakland, San Francisco, and San Jose). The Approval Authority determined that they would take an additional vote on the Management and Administration allocation once the BAUASI received its allocation.

Regional Sustainment Projects, Core City Allocation, and Management & Administration

GOAL	GOAL TITLE & PROJECTS	FY 2012 FUNDING
1	Planning and Risk Management	
	Risk Management Project	\$436,800
2	Information Analysis and Infrastructure Protection	
	NCRIC	\$4,000,000
	COPLINK-San Mateo Maintenance	\$360,000
	COPLINK-Santa Clara Maintenance	\$290,000
	ARIES-Contra Costa Maintenance	\$354,000
3	Communications	
	BayLoop Maintenance & Network Monitoring*	\$265,000
4	CBRNE Response	
	Resource Typing Database Maintenance	\$100,000
8	Regional Training and Exercise	
	Regional T & E Team	\$1,692,555
	Training	\$1,700,000
	Exercises	\$1,700,000
	TOTAL Project Funding	\$10,898,355
TBD	Core City Allocation	
	Oakland	\$1,000,000
	San Francisco	\$1,000,000
	San Jose	\$1,000,000
	TOTAL Core City Allocation	\$3,000,000
	Management & Administration (5% of \$21,138,615)	\$1,056,930
	TOTAL	\$14,955,285



At the February 23, 2012 Advisory Group meeting, the Management Team provided a status report on the FY 2012 UASI grant guidelines. At the meeting, a proposal to include BayRICS sustainment costs was presented. The request was for \$265,000 and includes BayLoop maintenance and networking monitoring. The Advisory Group discussed the proposal. However, several Advisory Group members also wanted to discuss the proposal with their BayRICS Joint Powers Authority representative before bringing the proposal to a vote of the Advisory Group.

Planning Hub Allocations

Option 1: The remaining balance of \$6,448,330, which does not include the \$265,000 request for BayLoop sustainment and maintenance costs, was allocated using the 2011 UASI risk formula with the addition of the assets identified in the 2012 Risk Management process. The Planning Hub allocations are as follows:

Planning Hub	Funding Amount (without BayLoop sustainment)	Percentage of Remaining Balance
North Bay Hub	\$ 450,025	6.98%
East Bay Hub	\$1,445,208	22.41%
South Bay Hub	\$1,712,578	26.56%
West Bay Hub	\$2,840,519	44.05%
TOTAL Planning Hubs	\$6,448,330	100%

Option 2: The remaining balance of \$6,183,330, which includes the \$265,000 request for sustainment and maintenance costs for BayLoop, was allocated using the 2011 UASI risk formula with the addition of the assets identified in the 2012 Risk Management process. The Planning Hub allocations are as follows:

Planning Hub	Funding Amount (with BayLoop sustainment)	Percentage of Remaining Balance
North Bay Hub	\$ 431,531	6.98%
East Bay Hub	\$1,385,816	22.41%
South Bay Hub	\$1,642,197	26.56%
West Bay Hub	\$2,723,786	44.05%
TOTAL Planning Hubs	\$6,183,330	100%

Budget or Fiscal Impact:

	Option 1:	Option 2:
Regional Sustainment Projects	\$10,633,355	\$10,898,355
Core City Allocation	\$ 3,000,000	\$ 3,000,000
Management & Administration	\$ 1,056,930	\$ 1,056,930
Planning Hub Allocations	<u>\$ 6,448,330</u>	<u>\$ 6,183,330</u>
TOTAL FY 2012 UASI Grant Allocations	\$21,138,615	\$21,138,615